UNIQUE WEALTH STRATEGIES FOR THE SOPHISTICATED INVESTOR

STRAND
CAPITAL MANAGEMENT

ALEX. BROWN
RAYMOND JAMES

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Strand Capital Management

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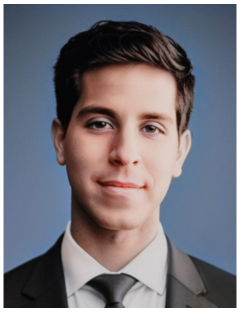
Over 30 years of experience in the financial markets, including commodity trading, investment banking, institutional sales, and private and corporate account management. He has a degree in Business Administration and Economics from W.P. Carey School of Business at Arizona State University.



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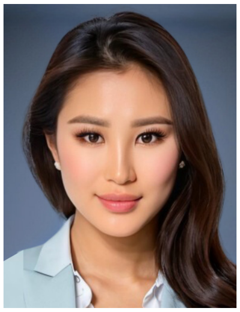
Over 15 years of experience in equity research, market analysis, and in private account management. He has a degree in Business Communication from W.P. Carey School of Business at Arizona State University.



ALTY ABDILMANOVA

Practice Business Coordinator
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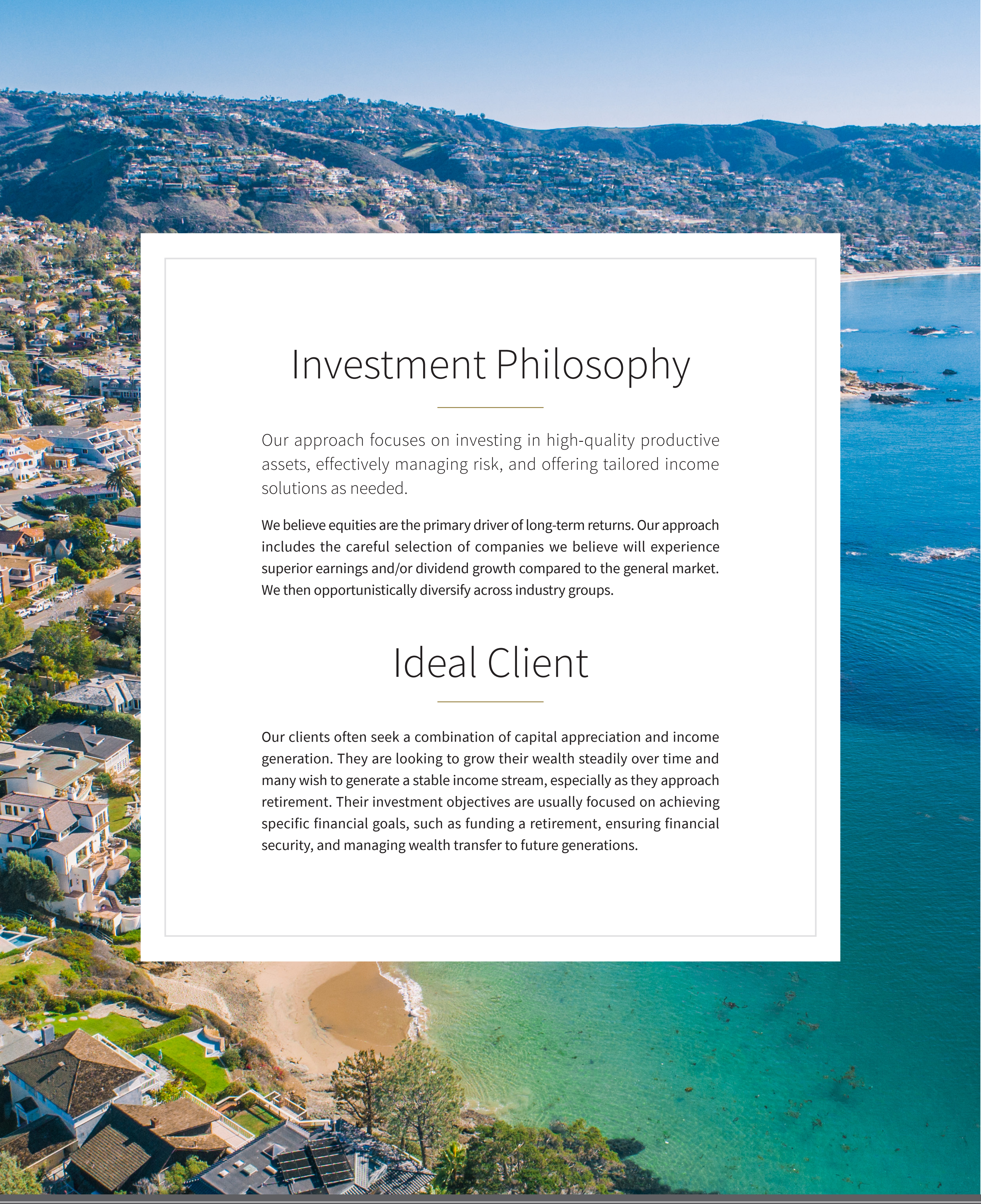
Alty delivers a top tier client service experience. She assists with the management of clients' accounts, trading, re-balancing, and Goal Plan & Monitoring. She holds a Bachelor of Science Degree in Finance from Northeastern University in Boston.



Investment Philosophy

Our approach focuses on investing in high-quality productive assets, effectively managing risk, and offering tailored income solutions as needed.

We believe equities are the primary driver of long-term returns. Our approach includes the careful selection of companies we believe will experience superior earnings and/or dividend growth compared to the general market. We then opportunistically diversify across industry groups.

Ideal Client

Our clients often seek a combination of capital appreciation and income generation. They are looking to grow their wealth steadily over time and many wish to generate a stable income stream, especially as they approach retirement. Their investment objectives are usually focused on achieving specific financial goals, such as funding a retirement, ensuring financial security, and managing wealth transfer to future generations.

Investment Process

We research and screen for companies that have a track record of superior earnings and/or dividend growth relative to the general market, all within a diversified investment framework.

Complementing our equity-centric strategy, we incorporate essential risk management components:

TRIGGERS

These strategic indicators guide our investment decisions, serving as early warning signs to reduce exposure and lower portfolio volatility during possible market stress. They are also designed to be fully invested in market up trends.

HEDGED EQUITY

We may employ hedging strategies on a portion of the portfolio, this includes the use of call options, to increase cash flows and to potentially mitigate losses and maintain smoother returns when market conditions become challenging.

MULTI-ASSET INCOME

For clients in pursuit of income, we've developed a comprehensive approach that encompasses investments in income-producing assets globally. By diversifying income streams across a variety of asset classes, we can meet the specific income needs of our clients, ensuring their financial objectives are met effectively.

SELL DISCIPLINE

Positions will be sold if we lose confidence in the company's ability to maintain superior earnings or dividend growth. From a macro perspective our Triggers will automatically force a portion of the portfolio into T-bills during times of potential market stress.

Our process relies on investing in superior equities, managing risk adeptly, and providing customized income solutions when required.

Client Review

We will conduct a comprehensive annual review that will include, but is not limited to, the following components:

GOALS, OBJECTIVES AND RISK PROFILE REVIEW

We will reassess your financial goals and objectives, and make sure they align with your current portfolio.

CAPITAL MARKETS OVERVIEW AND OUTLOOK

An analysis of the current state of the capital markets will be provided, along with an outlook for the future. This includes insights into market indicators, trends, economic factors, and other elements that could impact your investments.

PERFORMANCE REVIEW

We will conduct an in-depth analysis of your portfolio's performance that can help you understand the effectiveness of the current investment strategy and make any necessary adjustments.

ASSET ALLOCATION OF THE PORTFOLIO

We will examine the current asset allocation within your portfolio to ensure it is optimized and aligned with your investment goals, risk profile, and the market outlook.

We also offer an ongoing Goal Planning and Monitoring Service. We are always available to provide updates on your portfolio.

Connect with us

Visit our website
strandcapitalmanagement.com

IMPORTANT DISCLOSURES

Any opinions are those of the Investment Manager(s) and their team and not necessarily those of Raymond James. Opinions are subject to change at any time without notice. Content provided herein is for informational purposes only and should not be used or construed as investment advice or a recommendation regarding the purchase or sale of any security outside of a managed account. This should not be considered forward looking, and does not guarantee the future performance of any investment.

All investments are subject to risk, including loss. There is no assurance that any investment strategy will be successful. Asset allocation and diversification does not ensure a profit or protect against a loss. It is important to review the investment objectives, risk tolerance, tax objectives and liquidity needs before choosing an investment style or manager.

This Fact Sheet is not intended to be a client-specific suitability analysis or recommendation. Do not use this as the sole basis for investment decisions. Do not select an investment strategy based on performance alone.

The individual(s) mentioned as the Investment Manager(s) are Financial Advisors with Raymond James participating in a Raymond James fee-based advisory program. This is an investment advisory program in which the client's Financial Advisor invests the client's assets on a discretionary basis in a range of securities. Raymond James investment advisory programs may require a minimum asset level and, depending on your specific investment objectives and financial position, may not be suitable for you.

In a fee-based account clients pay a quarterly fee, based on the level of assets in the account, for the services of a financial advisor as part of an advisory relationship. In deciding to pay a fee rather than commissions, clients should understand that the fee may be higher than a commission alternative during periods of lower trading. Advisory fees are in addition to the internal expenses charged by mutual funds and other investment company securities. To the extent that clients intend to hold these securities, the internal expenses should be included when evaluating the costs of a fee-based account. Clients should periodically re-evaluate whether the use of an asset-based fee continues to be appropriate in servicing their needs. A list of additional considerations, as well as the fee schedule, is available in the firm's Form ADV Part 2 as well as the client agreement.

ASSET CLASS RISK CONSIDERATIONS

Every type of investment, including mutual funds, involves risk. Risk refers to the possibility that you will lose money (both principal and any earnings) or fail to make money on an investment. Changing market conditions can create fluctuations in the value of a mutual fund investment. In addition, there are fees and expenses associated with investing in mutual funds that do not usually occur when purchasing individual securities directly.

This strategy may contain Exchange Traded Funds (ETF) and/or Mutual Funds. Investors should carefully consider the ETF and mutual fund investment objectives, risks, charges, and expenses before investing. The prospectus contains this and other information and can be obtained from the ETF or Mutual Fund sponsor as well as from your financial advisor. The prospectus should be read carefully before investing.

ETF shareholders should be aware that the general level of stock or bond prices may decline, thus affecting the value of an exchange-traded fund. Although exchange-traded funds are designed to provide investment results that generally correspond to the price and yield performance of their respective underlying indexes, the funds may not be able to exactly replicate the performance of the indexes because of fund expenses and other factors.

Equities: Investors should be willing and able to assume the risks of equity investing. The value of a client's portfolio changes daily and can be affected by changes in interest rates, general market conditions and other political, social and economic developments, as well as specific matters relating to the companies in which the strategy has invested. Companies paying dividends can reduce or cut payouts at any time.

Fixed Income: All fixed income securities are subject to market risk and interest rate risk. If fixed income securities are sold in the secondary market before maturity, an investor may experience a gain or loss depending on the level of interest rates, market conditions and the credit quality of the issuer. There is an inverse relationship between interest rate movements and bond prices. Generally, when interest rates rise, bond prices fall and when interest rates fall, bond prices generally rise. Please note these strategies may be subject to state, local, and/or alternative minimum taxes. You should discuss any tax or legal matters with the appropriate professional.

Sectors: Strategies that invest primarily in securities of companies in one industry or sector are subject to greater price fluctuations and volatility than strategies that invest in a more broadly diversified strategies. The Strategy may have over-weighted sector and issuer positions and may result in greater volatility and risk. Investing in small cap stocks generally involves greater risks, and therefore, may not be appropriate for every investor. The prices of small company stocks may be subject to more volatility than those of large company stocks.

While interest on municipal bonds is generally exempt from federal income tax, they may be subject to the federal alternative minimum tax, or state or local taxes. In addition, certain municipal bonds (such as Build America Bonds) are issued without a federal tax exemption, which subjects the related interest income to federal income tax. Municipal bonds may be subject to capital gains taxes if sold or redeemed at a profit.

Commodities and currencies are generally considered speculative because of the significant potential for investment loss. They are volatile investments and should only form a small part of a diversified portfolio. Markets for precious metals and other commodities are likely to be volatile and there may be sharp price fluctuations even during periods when prices overall are rising.

Investing in REITs can be subject to declines in the value of real estate. Economic conditions, property taxes, tax laws and interest rates all present potential risks to real estate investments.

High-yield bonds are not suitable for all investors. The risk of default may increase due to changes in the issuer’s credit quality. Price changes may occur due to changes in interest rates and the liquidity of the bond. When appropriate, these bonds should only comprise a modest portion of your portfolio.

International investing involves special risks, including currency fluctuations, differing financial accounting standards, and possible political and economic volatility. Investing in emerging markets can be riskier than investing in well-established foreign markets. Investing involves risk and investors may incur a profit or a loss.

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